

# Evaluation of research projects by the local Ethics Commission at the Department of Informatics in the Faculty of Mathematics, Informatics and Natural Sciences at Universität Hamburg

## Basic Questionnaire

Every executive researcher must complete and sign this basic questionnaire for each study, if an evaluation by the Ethics Commission is required.

Student researchers must have the basic questionnaire signed by their responsible supervisor.

**Forms for written clarification and informed consent must be attached to each application.**

### Abbreviated Designation of the Study:

---

#### 1. General Data

Study or series thereof to be performed in the following context (please check):

- |  |   |
|--|---|
| <input type="checkbox"/> Study, e.g. Practical Course or Project | <input type="checkbox"/> Dissertation                           |
| <input type="checkbox"/> Bachelor Thesis                         | <input type="checkbox"/> Habilitation                           |
| <input type="checkbox"/> Master Thesis                           | <input type="checkbox"/> Research Project (e.g. Funded Project) |
|  | <input type="checkbox"/> Other (please specify)                 |
- 

#### Executive Researcher:

Full Name: \_\_\_\_\_

Research Group: \_\_\_\_\_

Email Address: \_\_\_\_\_

#### Status (please check):

- Student Bachelor's Degree
- Student Master's Degree
- Research Assistant
- Other (please specify): \_\_\_\_\_

**Responsible Supervisor, if applicable:**

Full Name: \_\_\_\_\_

Research Group: \_\_\_\_\_

Email Address: \_\_\_\_\_

**2. Affiliation to other Studies**

a) **Is this a study within the framework of a project or another study for which a vote of the Ethics Commission is already available or is the currently planned study designed analogue to a study for which an approval of the Ethics Commission is already available?**

- No (continue with checklist)  
 Yes

**If yes, please indicate the abbreviated designation of the study:**

\_\_\_\_\_

**Supervisor of the study for which a vote of the Ethics Commission is already available:**

\_\_\_\_\_

b) **Has the design been altered concerning relevance of the responses in this checklist?**

- No (please sign)  
 Yes

**If yes, please explain in a separate document which modifications have been made.**

\_\_\_\_\_

Place, Date

\_\_\_\_\_

Signature of the Executive Researcher

\_\_\_\_\_

Place, Date

\_\_\_\_\_

Signature of the Supervisor, if applicable

**Checklist for the Study:**

	Yes	No
1. Does the study involve participants who are unable to give informed consent (e.g. under the age of 18 or persons unable to legally give consent)?		
2. Does the study involve participants, who belong to a particularly vulnerable group (e.g. participants of clinical samples, persons with learning disabilities, residents of a hospital or nursing home or persons serving a sentence)?		
3. Is it required that persons participate without being informed about their participation or without having given informed consent (e.g. covert observation) at this point?		
4. Is it required that the participants are not entirely informed about purpose and content of the study? (Remark: entire information does not imply the disclosure of the hypothesis, but refers to the purpose and procedure of the study. For example, incomplete or false information exists when, in order to address the question, the creation of a cover story is necessary).		
5. Is it required to actively mislead participants concerning the purpose of the study?		
6. Is it required to ask questions of an intimate nature which may be conceived as stigmatizing (e.g. relating to illegal or deviant behaviour)?		
7. Is it expected that participants are going to suffer from physiological stress, anxiety, exhaustion, physical pain or other negative effects beyond the anticipated norms?		
8. Does the study involve the administration of medicine, placebo or any other substances?		
9. Will the participants be subject to any invasive or potentially harmful procedures?		
10. Will personal data be collected which cannot be processed anonymously (e.g. video or audio recordings of the participants, collection of body substances such as saliva samples)?  If yes, please specify what kind of data:  _____		
Will the participants be informed about this? <input type="checkbox"/> Yes <input type="checkbox"/> No		
May the participants demand the deletion/destruction of said data at any time and will they be informed about this? <input type="checkbox"/> Yes <input type="checkbox"/> No		
11. Will the participants receive financial remuneration in exceedance of the average amount of 10 Euro per hour?  If yes, which amount?                    _____ Euro per hour  Why is it required to pay this amount to the participants hourly?  _____		

**Comments:**

Detailed information on individual topics can be seen on the following website of the ethics guidelines of the German Psychological Society (DGPs):

<http://www.dgps.de/index.php?id=185>

If one or more of questions 1–9 on the checklist has been answered with yes, please describe the study scheme in a separate document. A detailed and thorough explanation must be submitted, stating why said item or items have been answered with yes. Please go into detail about how you will ensure compliance with the ethics guidelines pertaining to this item or these items.

If one or both of questions 10–11 has been answered with yes, please answer directly the corresponding additional questions.

If in question 10, one or both additional question(s) has been answered with no, please describe in a separate document why this is necessary and how you will ensure compliance with the ethics guidelines pertaining to this item or these items.

Please note that in all cases it is required to inform participants in advance in as detailed a manner as possible about the procedure of the study, collect their informed consent in writing and ensure confidentiality of data collection and storage thereof. **Forms of clarification and informed consent must be attached to this application.** The Ethics Commission must be reconsulted if essential modifications of the study occur during data collection.

I certify that to the best of my knowledge all information in this application is accurate .

---

Place, Date

---

Signature of the Executive Researcher

---

Place, Date

---

Signature of the Supervisor, if applicable