

# Automated Analysis and Synthesis of Facial Actions

Itir Önal Ertuğrul

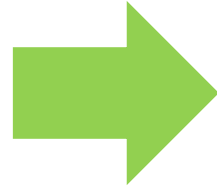
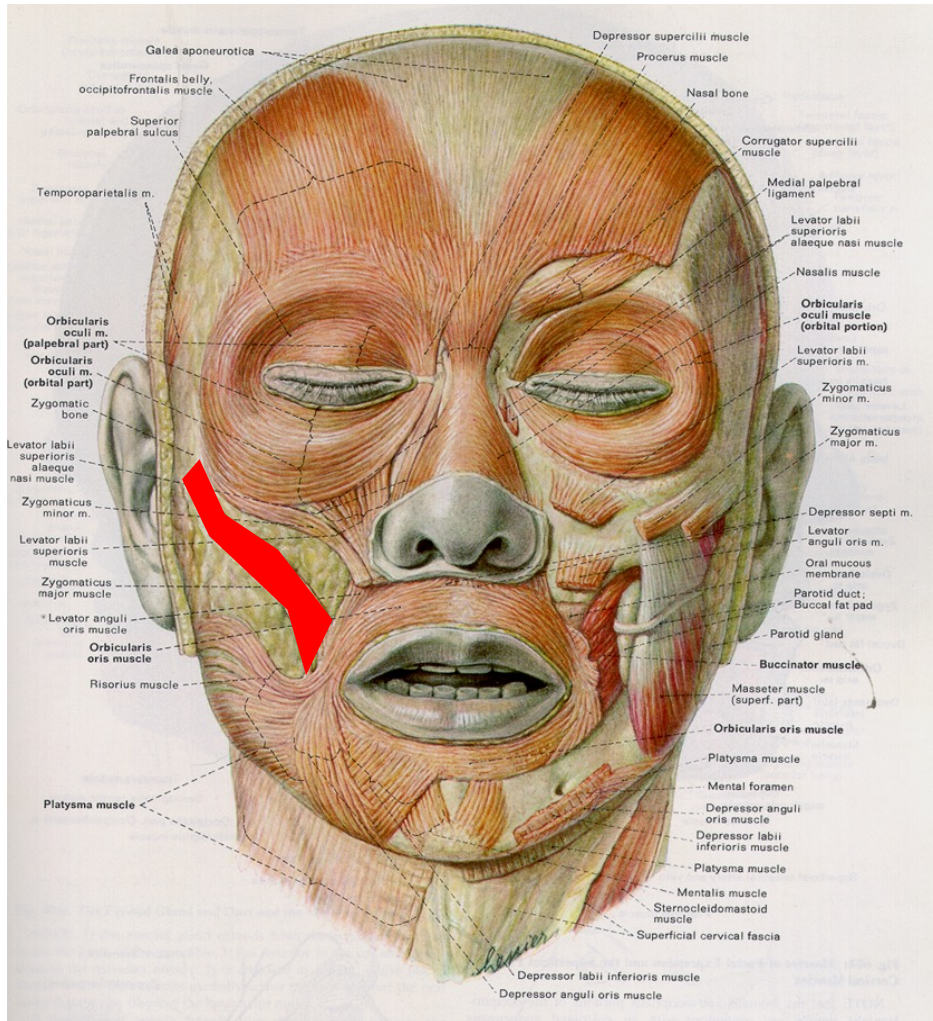
Feb 3<sup>rd</sup>, 2022



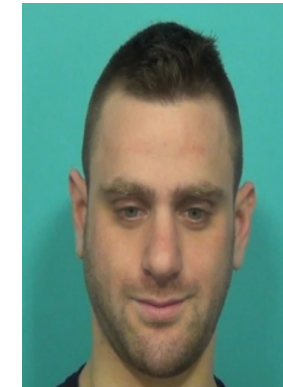
**Utrecht  
University**

# Facial Action Units (AUs)

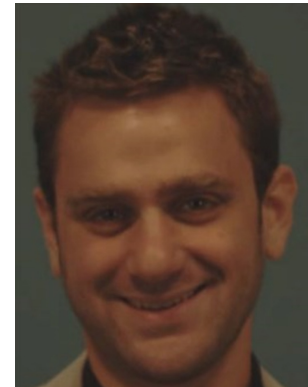
## Facial Muscles



AU 1 Inner Brow Raiser	AU 2 Outer Brow Raiser	AU 4 Brow Lowerer
AU 5 Upper Lid Raiser	AU 6 Cheek Raiser	AU 7 Lid Tightener
AU 9 Nose Wrinkler	AU 10 Upper Lip Raiser	AU 11 Nasolabial Deepener
<b>AU 12 Lip Corner Puller</b>	AU 14 Dimpler	AU 15 Lip Corner Depressor
AU 17 Chin Raiser	AU 23 Lip Tightener	AU 24 Lip Pressor



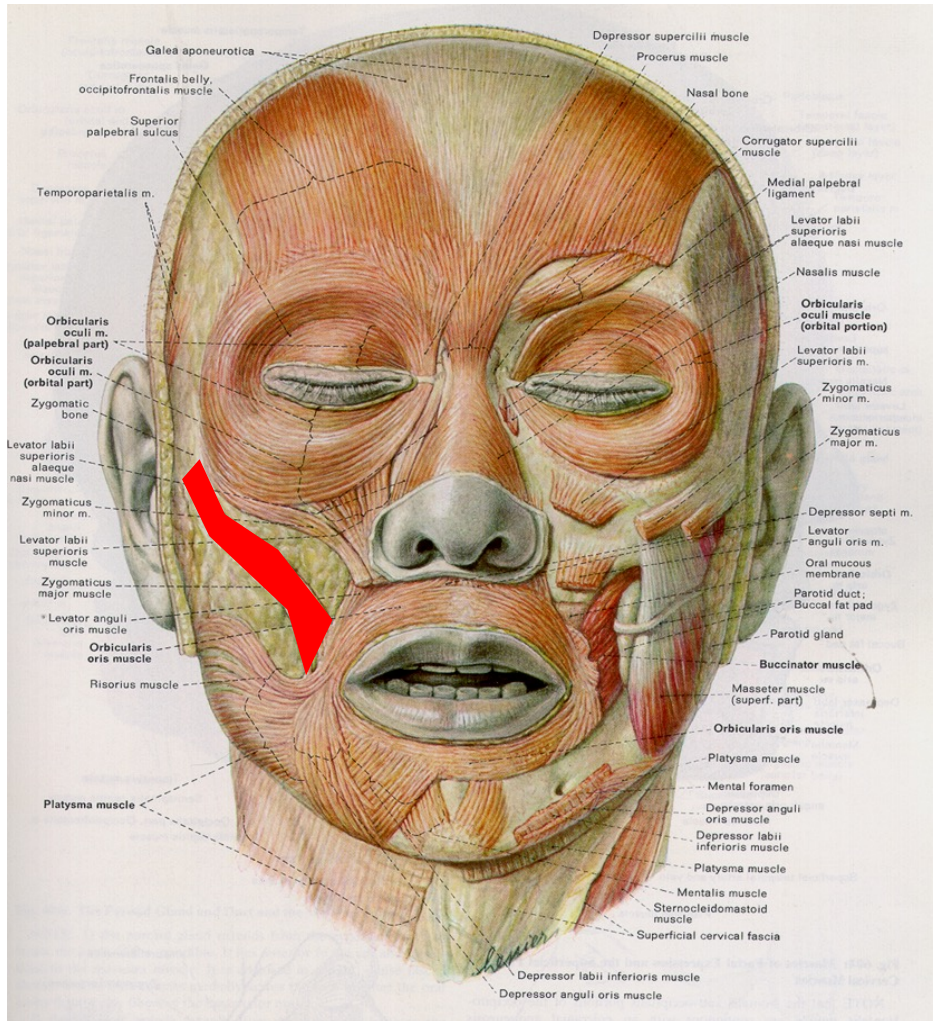
Social smile  
AU12



Enjoyment smile  
AU6 + AU12

# Facial Action Units (AUs)
















## Facial Muscles

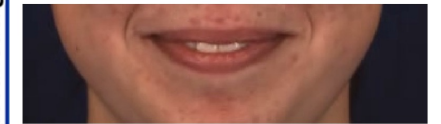
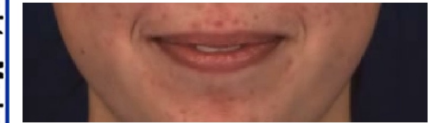
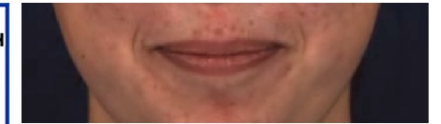


## Occurrence

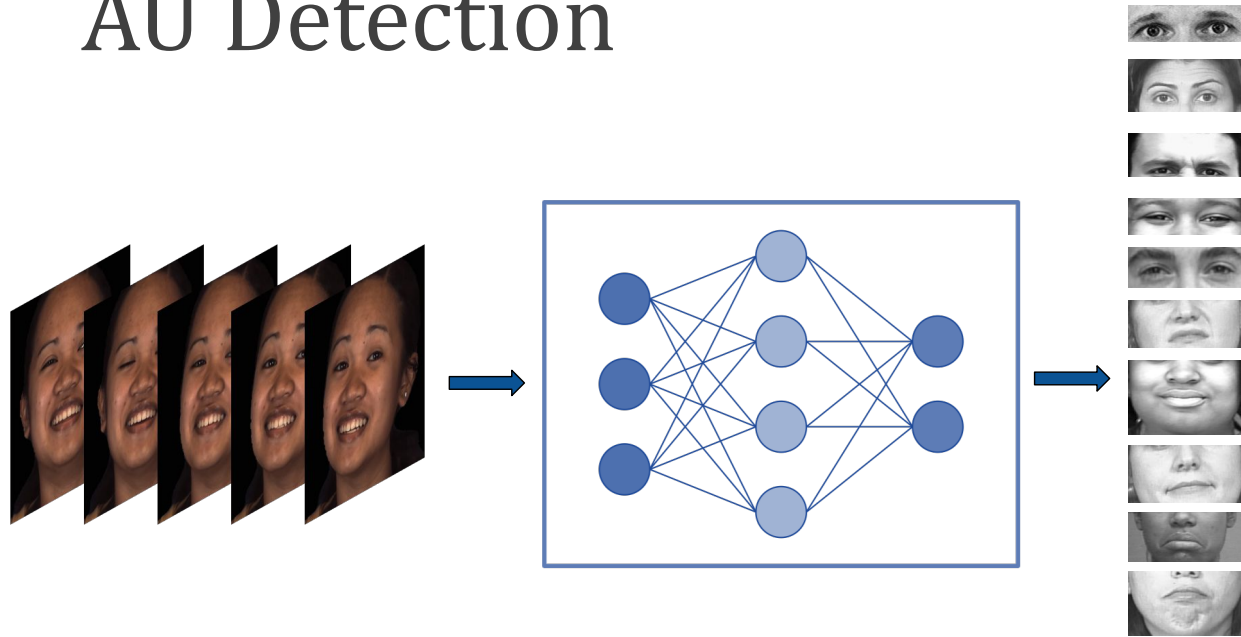
+

## Intensity

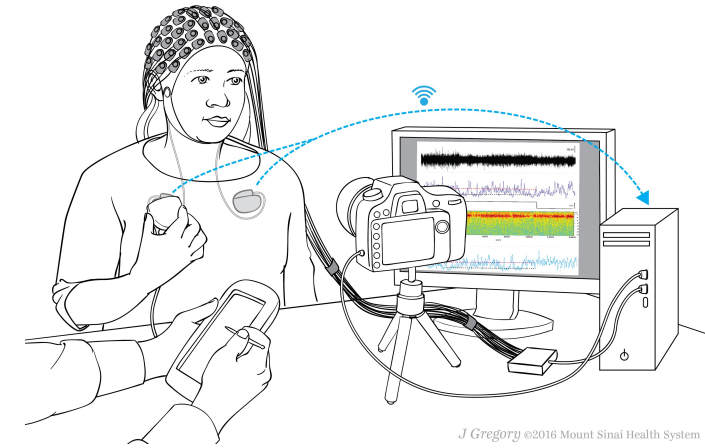
<b>AU 1</b>  <b>Inner Brow Raiser</b>	<b>AU 2</b>  <b>Outer Brow Raiser</b>	<b>AU 4</b>  <b>Brow Lowerer</b>
<b>AU 5</b>  <b>Upper Lid Raiser</b>	<b>AU 6</b>  <b>Cheek Raiser</b>	<b>AU 7</b>  <b>Lid Tightener</b>
<b>AU 9</b>  <b>Nose Wrinkler</b>	<b>AU 10</b>  <b>Upper Lip Raiser</b>	<b>AU 11</b>  <b>Nasolabial Deepener</b>
<b>AU 12</b>  <b>Lip Corner Puller</b>	<b>AU 14</b>  <b>Dimpler</b>	<b>AU 15</b>  <b>Lip Corner Depressor</b>
<b>AU 17</b>  <b>Chin Raiser</b>	<b>AU 23</b>  <b>Lip Tightener</b>	<b>AU 24</b>  <b>Lip Pressor</b>



# AU Detection

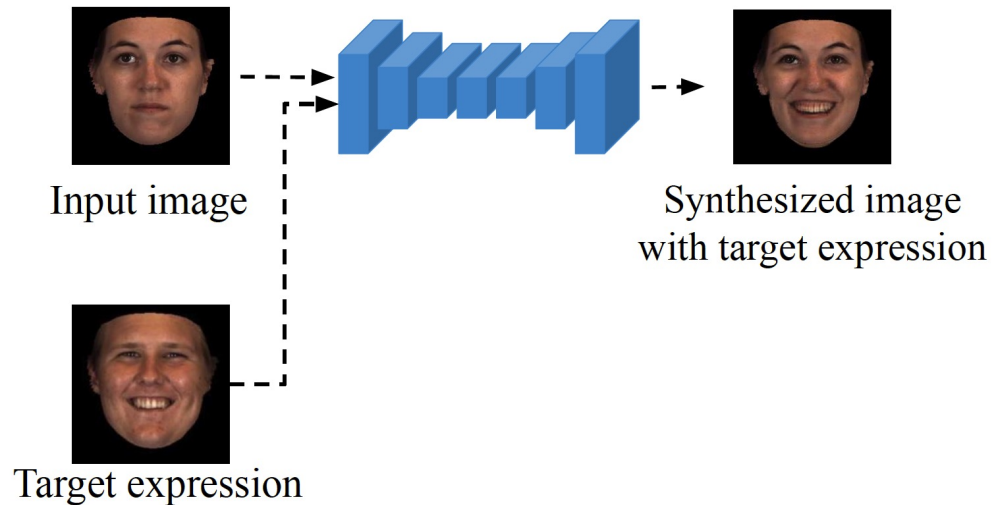


# Applications



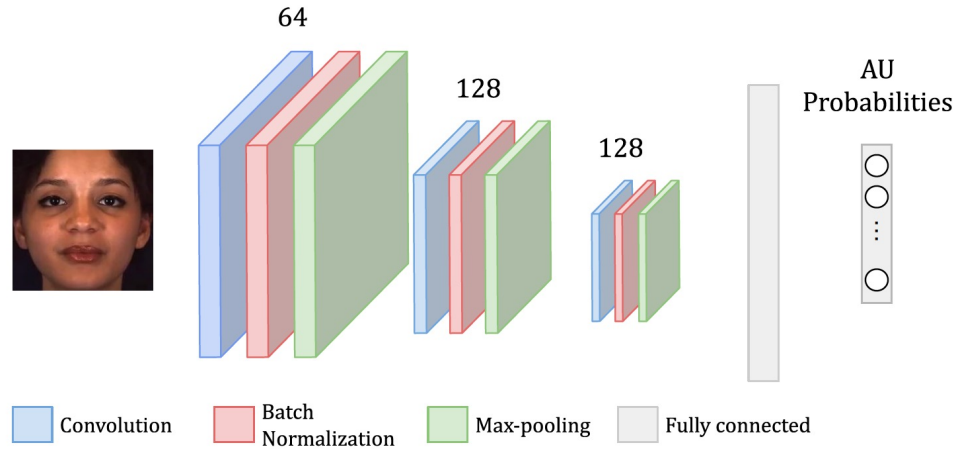
Treatment of disorders

# AU Synthesis

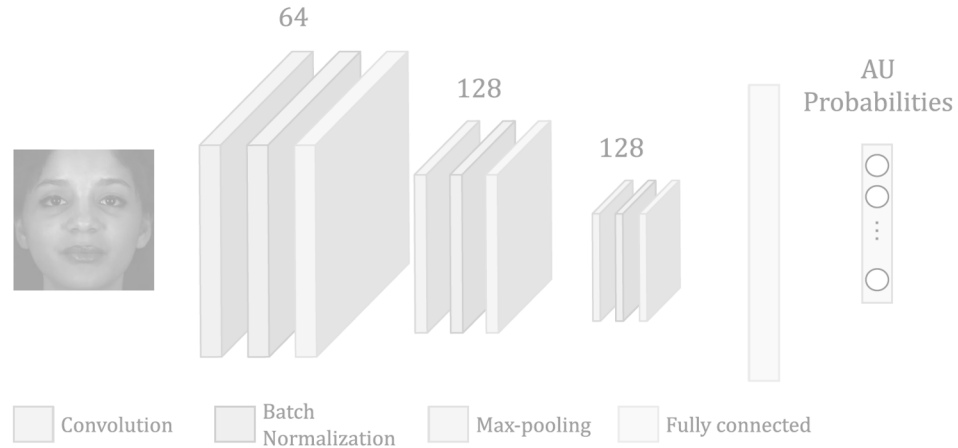


Dyadic Interaction

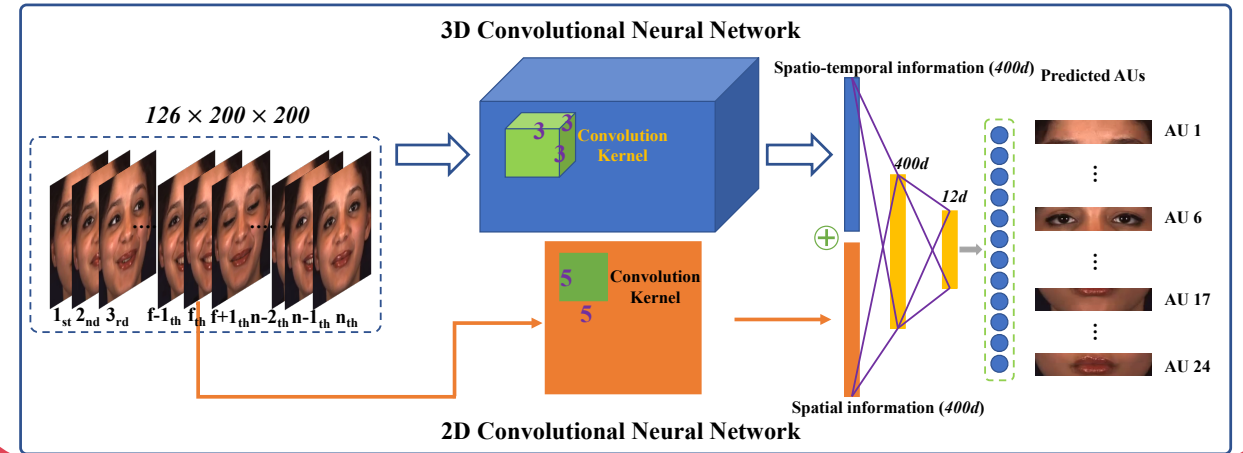
# (M1) Spatial and holistic face



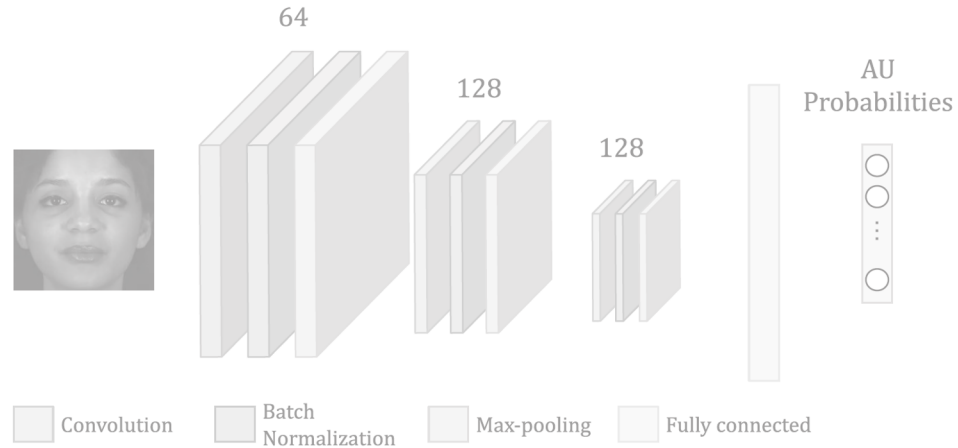
# (M1) Spatial and holistic face



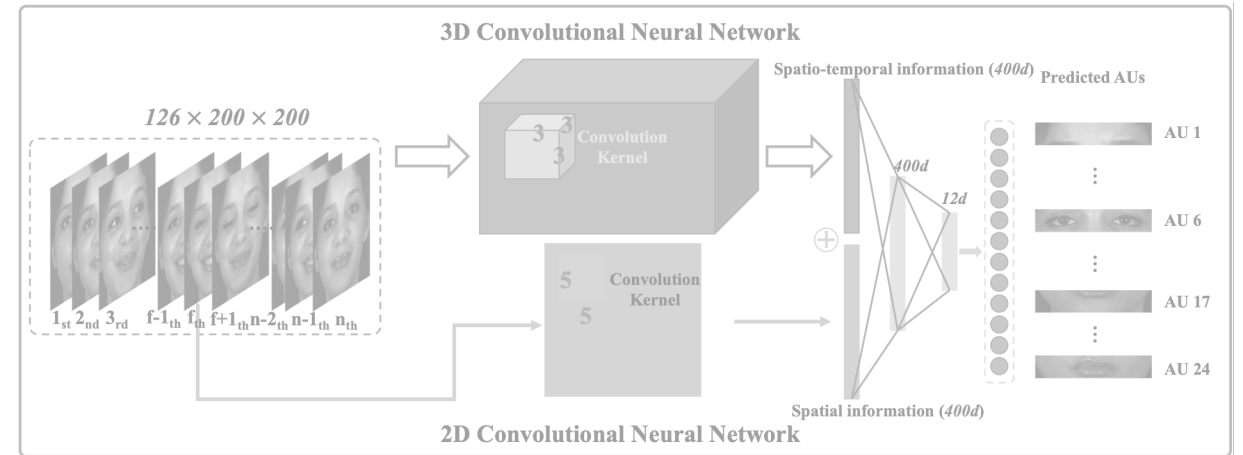
# (M2) Spatio-temporal and holistic face



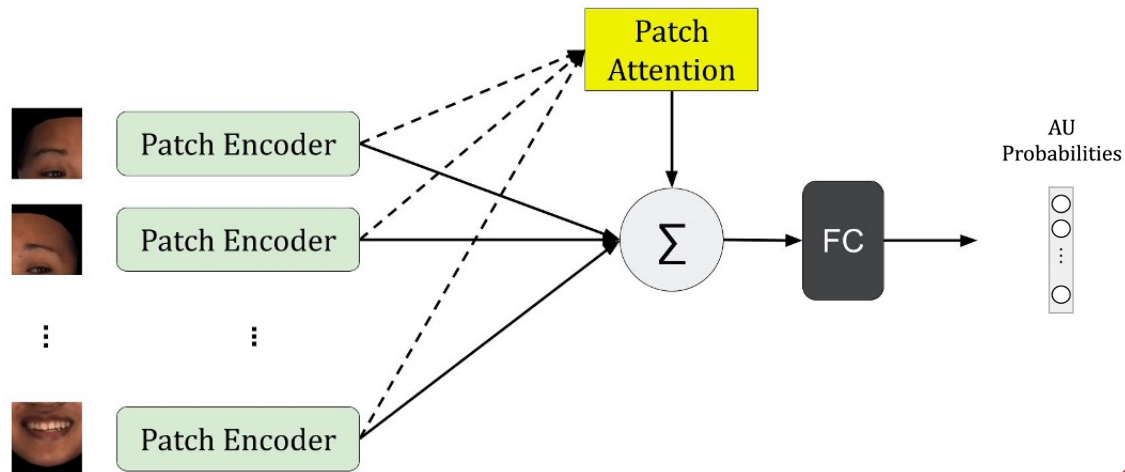
# (M1) Spatial and holistic face



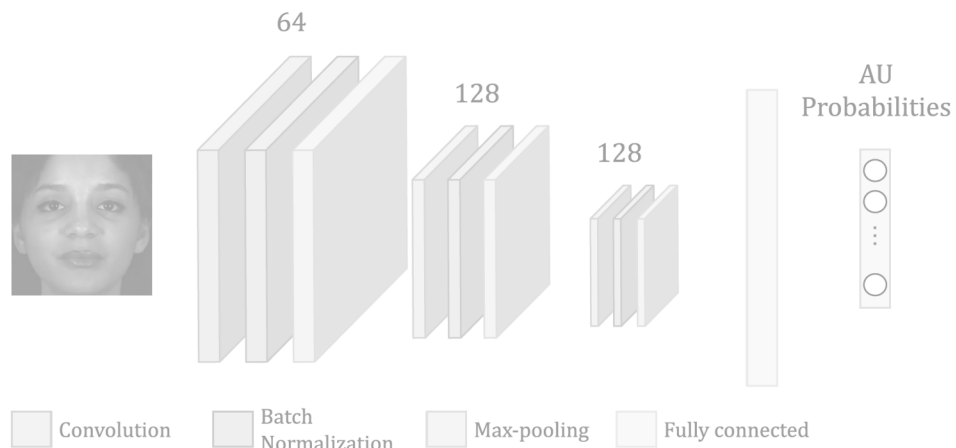
# (M2) Spatio-temporal and holistic face



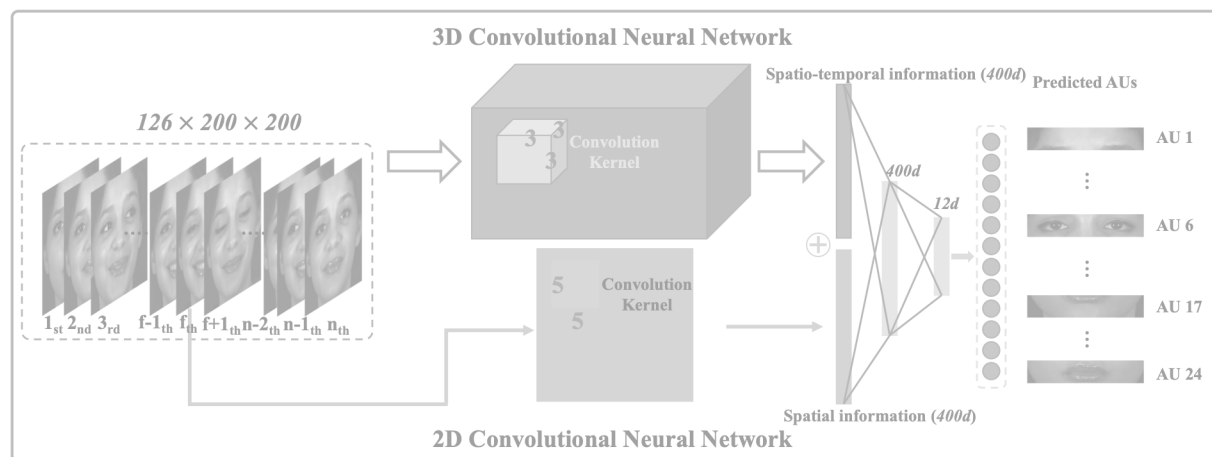
# (M3) Spatial and patch-based



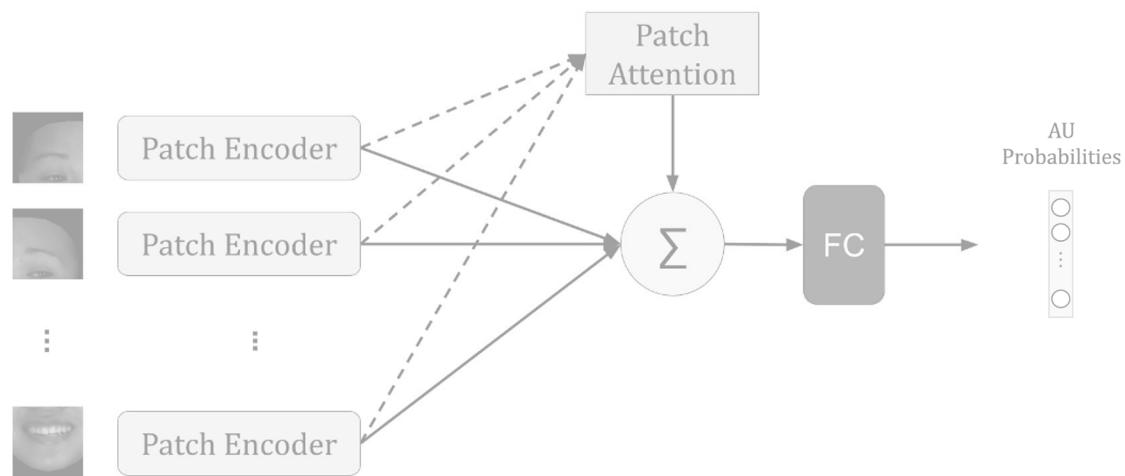
# (M1) Spatial and holistic face



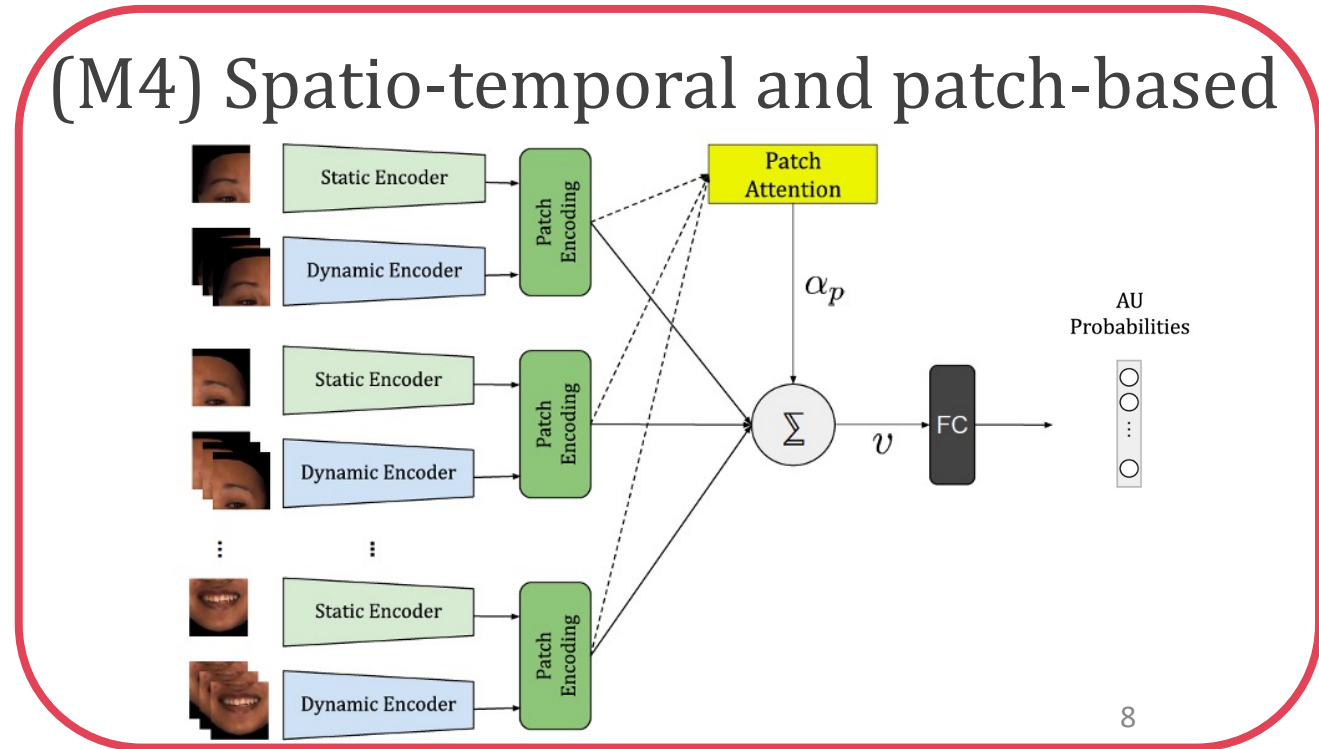
# (M2) Spatio-temporal and holistic face



# (M3) Spatial and patch-based

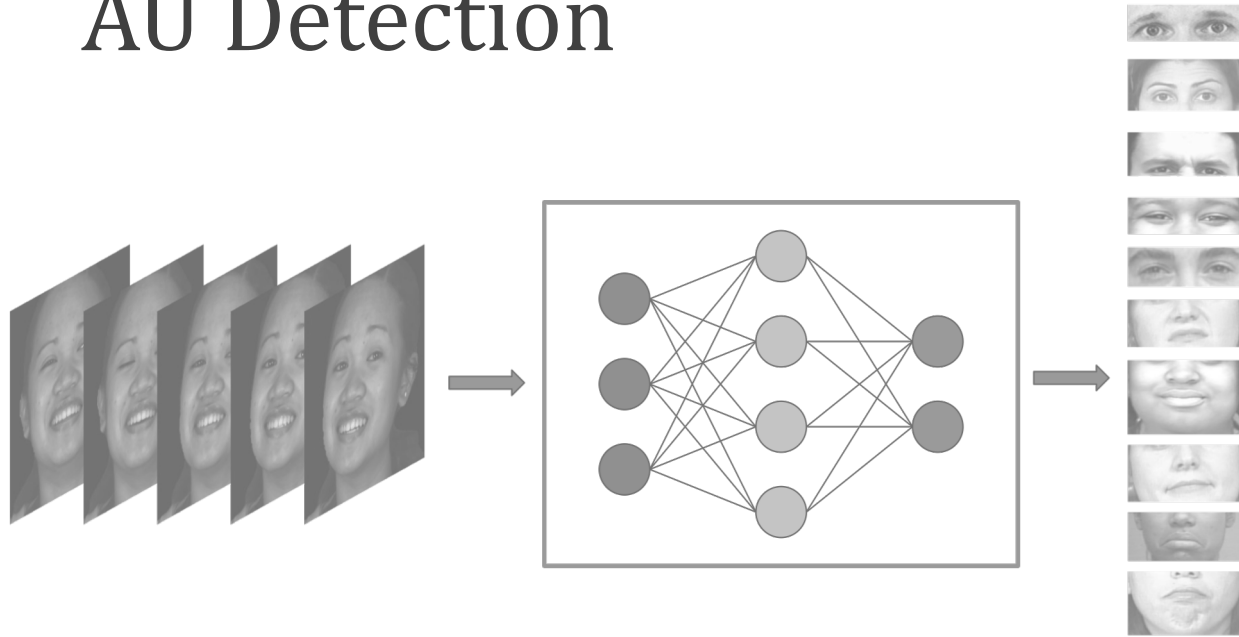


# (M4) Spatio-temporal and patch-based

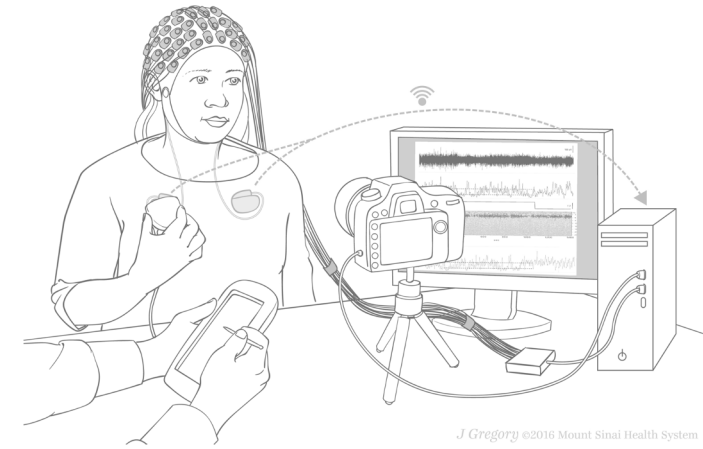




# AU Detection

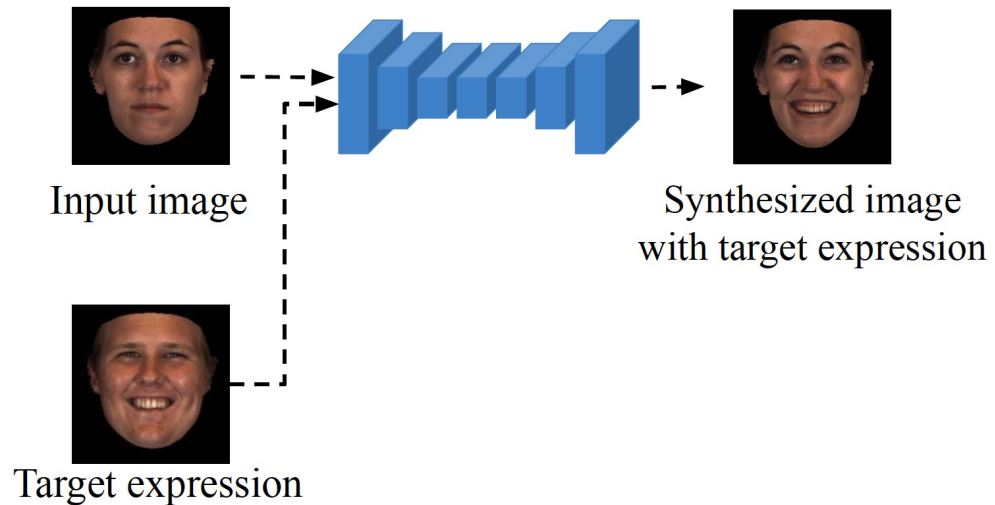


# Applications



Treatment of disorders

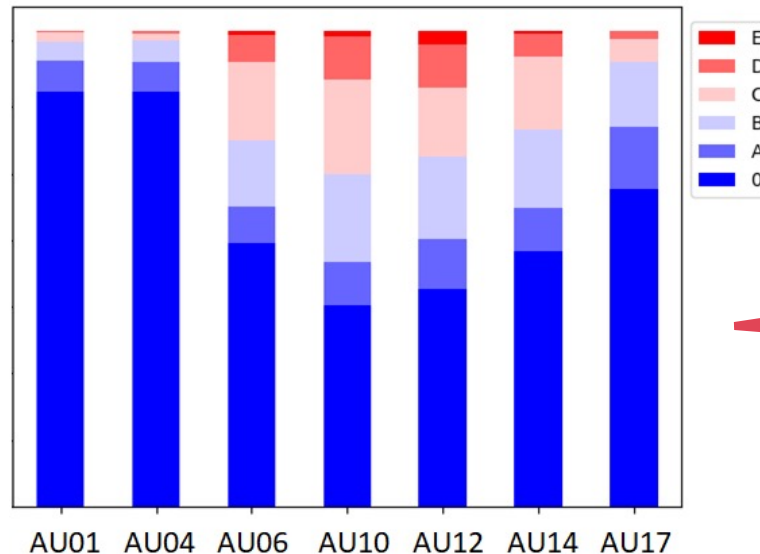
# AU Synthesis



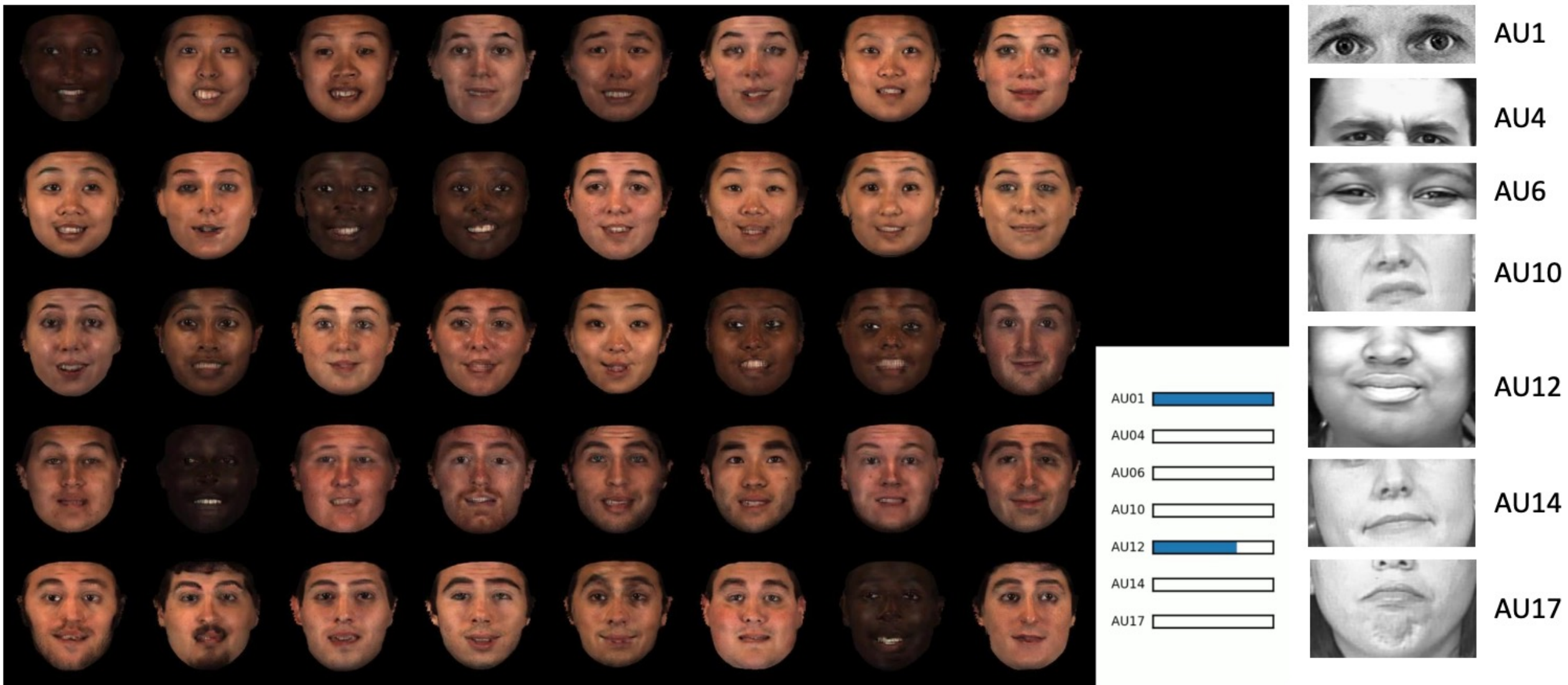
Dyadic Interaction

# Problems of available facial expression databases

- Limited number of AU annotated frames
  - Expert FACS annotators are relatively few
  - AU annotation of a single minute of video typically requires one to three hours
- Skewed AU intensity labels



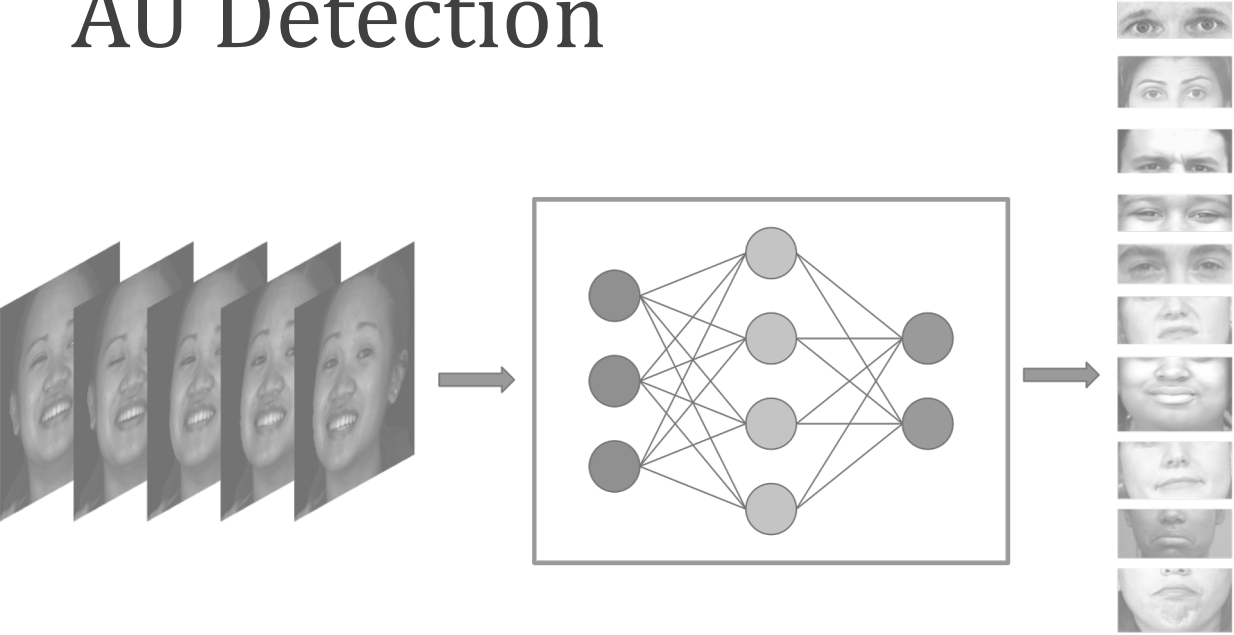
Large, AU-balanced database



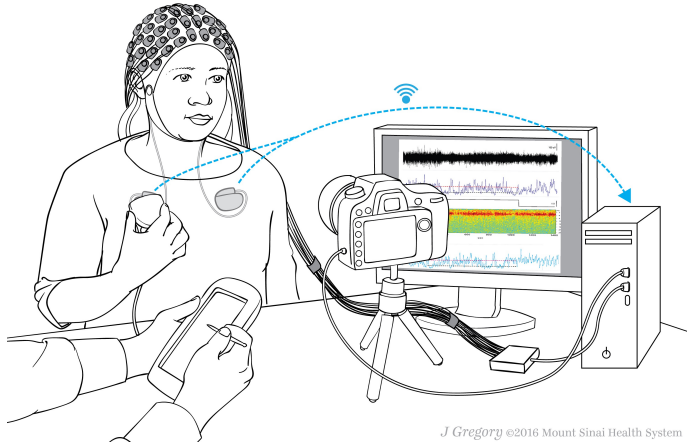
K. Niinuma, **I. Onal Ertugrul**, J. F. Cohn, and L. A. Jeni, Synthetic Expressions are Better Than Real for Learning to Detect Facial Actions. In *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision (WACV)*, 2021.

<https://kniinuma.github.io/SyntheticExpressionBetterThanReal/>

# AU Detection

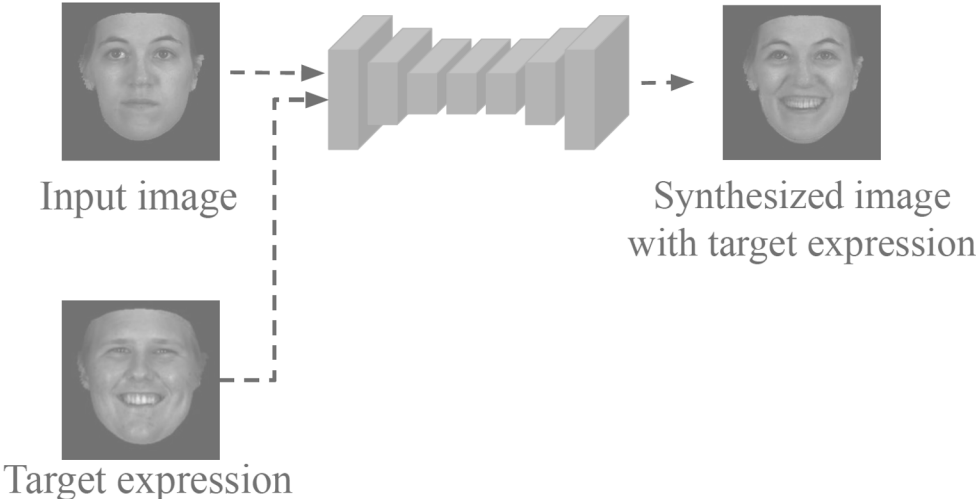


# Applications



Treatment of disorders

# AU Synthesis

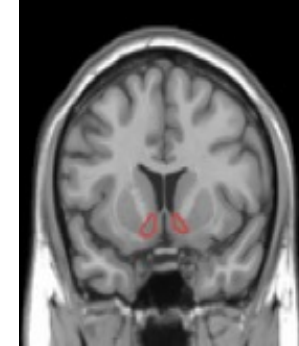


Dyadic Interaction

# (A1) Deep Brain Stimulation for Obsessive Compulsive Disorder

## Deep Brain Stimulation (DBS):

- Electrodes are implanted into Ventral Striatum
- **Potential side effects:** Hypomania



## Use automated measurement of facial actions to:

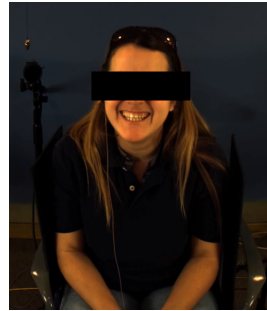
- Detect affect of the subject
- Adjust parameters of DBS device accordingly

N. Provenza et al., Long-term ecological assessment of intracranial electrophysiology synchronized to behavioral markers in Obsessive-Compulsive Disorder, *Nature Medicine*, 2021

J. F. Cohn, L. A. Jeni, **I. Onal Ertugrul**, D. Malone, M. Okun, D. Borton, and W. Goodman, Automated Affect Detection in Deep Brain Stimulation for Obsessive Compulsive Disorder: A Pilot Study, *ICMI*, 2018.

# (A2) Mother-infant interaction

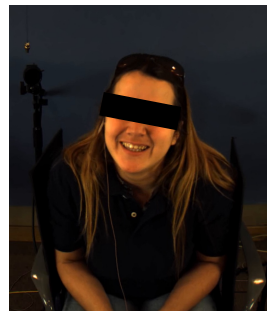
Face-to-Face (FF)



Still-Face (SF)



Resume (RE)



AU12  
Lip corner puller



AU6  
Cheek raiser



AU4  
Brow lowerer

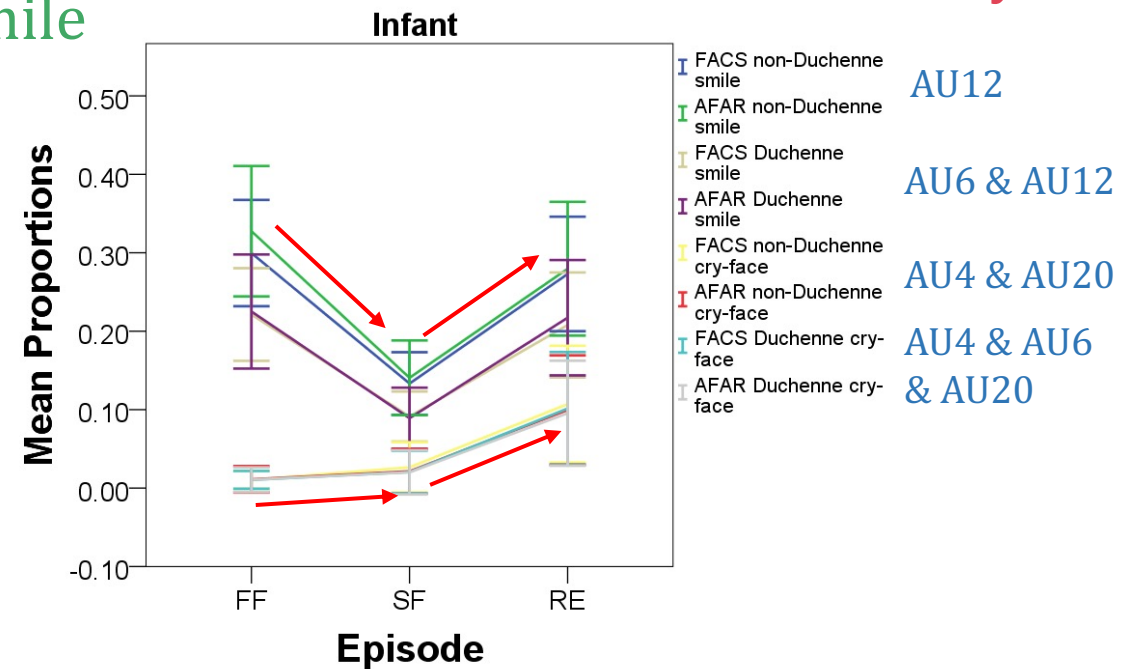


AU20  
Lip stretcher



Smile

Cry-face



# Ongoing work

## (1) AI driven e-health solution to help patients conquer needle fear

### **Problems:**

- People avoid visiting doctors.
- Experience vasovagal reactions (e.g. fainting)

### **Proposed solution:**

- Adapting a serious game that helps patients conquer needle fear
- Monitor facial behavior, vital signs, and vasovagal reactions to adjust game

In collaboration with Tilburg University and Elisabeth-TweeSteden Ziekenhuis (ETZ)

# Ongoing work

## (2) Facial action detection in specific age-groups

**Infants:** Infant AFAR

**Older adults:** Synthesis & detection

## (3) Computational analysis of entrepreneurial pitches

- Investigate decision-making in entrepreneurial contexts involving social interactions
- Use nonverbal behavior of entrepreneur/investor and their interaction to estimate likelihood of investment

In collaboration with Tilburg University and Jheronimus Academy of Data Science (JADS)



# Thank you!

Questions?

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**Utrecht  
University**



**Carnegie  
Mellon  
University**

