# BIAS CORRECTION METHODS FOR ADAPTIVE RECURSIVE SMOOTHING WITH APPLICATIONS IN NOISE PSD ESTIMATION

Robert Rehr, Timo Gerkmann

Speech Signal Processing Group, Department of Medical Physics and Acoustics Cluster of Excellence Hearing4all, University of Oldenburg, Germany robert.rehr@uni-oldenburg.de, timo.gerkmann@uni-oldenburg.de

# ABSTRACT

Due to the low computational complexity and the low memory consumption, first-order recursive smoothing is a technique often applied to estimate the mean of a random process. For instance, recursive smoothing is used in noise power estimators where adaptively changing smoothing factors are used instead of fixed ones to prevent the speech power from leaking into the noise estimate. However, in general, the usage of adaptive smoothing factors leads to a biased estimate of the mean. In this paper, we propose a novel method to correct the bias evoked by adaptive smoothing factors. We compare this method to a recently proposed compensation method in terms of the log-error distortion using real world signals for two noise power estimators. We show that both corrections reduce the distortion measure in noisy speech while the novel method has the advantage that no iteration is required for determining the correction factor.

*Index Terms*— Error correction, adaptive estimation, smoothing methods, IIR filters, speech enhancement

# 1. INTRODUCTION

Mobile telephones and hearing aids are used to facilitate communication and are often employed in noisy conditions. The background noise usually reduces the perceived speech quality and speech intelligibility. Thus, to counteract the detrimental effects of noise, enhancement algorithms are employed. If the noisy speech signal is recorded using a single microphone, the noise is commonly suppressed by attenuating specific frequency bands when they are dominated by the disturbance, e.g., by using the Wiener filter. Here, the attenuation is controlled by the background noise power spectral density (PSD) and speech PSD which have to be estimated from the noisy input signal, e.g, using [1-4], [5, Section 14] or [6]. These PSDs can be understood as the mean of the speech and noise periodograms, respectively. To estimate these means, first-order recursive smoothing filters are often used due to their low computational complexity and low memory demands. These filters can be interpreted as the application of an exponentially decaying smoothing window. This allows first-order recursive smoothing filters also to track the mean of nonstationary signals.

For example, the noise PSD estimators proposed in [5, Section 14.1.3] and [6] reveal a first-order recursive filter structure where the fixed smoothing constant has been replaced by an adaptive smoothing factor [7]. The filter equation is then given by

$$\overline{x}_{\ell} = [1 - \alpha(x_{\ell}, \overline{x}_{\ell-1})] x_{\ell} + \alpha(x_{\ell}, \overline{x}_{\ell-1}) \overline{x}_{\ell-1}.$$
(1)

Here,  $x_{\ell}$  is the observation of the random process at time  $\ell$  and describes the periodogram of the noisy input signal. The estimated mean, i.e., the PSD, is denoted by  $\overline{x}_{\ell}$ . The choice of  $0 \le \alpha(x_{\ell}, \overline{x}_{\ell-1}) \le 1$  is a trade-off in the speed with which a changing mean  $\mathbb{E}\{x_{\ell}\}$  can be tracked on the one hand and the variance of the estimate on the other. The noise estimators in [5, Section 14.1.3] and [6] use the adaptive smoothing factors where the smoothing factor increases with an increasing *a posteriori* signal-to-noise ratio (SNR). In [5, Section 14.1.3],  $\alpha(x_{\ell}, \overline{x}_{\ell-1})$  can take two different values which are selected based on a threshold while in [6], the smoothing factor is implicitly adapted using the speech presence probability (SPP).

In [7], it has been shown that the application of adaptive smoothing factors in general leads to a biased estimate of the mean. An iterative method has been presented which allows to quantify the bias evoked by adaptive smoothing factors. The estimated bias has been used to correct the deviation from the mean by applying a correction factor to the filter *output*  $\bar{x}_{\ell}$ . In this paper, we show that the correction factor can also be applied to the filter *input* if the smoothing factor depends only on the ratio  $x_{\ell}/\bar{x}_{\ell-1}$ . Further, we propose a second compensation method where no iteration is required to determine the correction factor. By means of experiments, we show that both correction methods can lead to a better estimation of the noise PSD in terms of the log-error distortion [8]. For this, we use the noise PSD estimators described in [5, 6] as examples.

After introducing the signal model and its relationship to (1), we describe the noise estimators proposed in [5,6] in the context of adaptive smoothing. We continue with the two proposed correction methods and describe the procedures to estimate the correction factors. After that, we evaluate the precision of the methods proposed to estimate the correction factors using Monte-Carlo simulations. Further, we conduct experiments using real world signals and show that the correction methods reduce the log-error distortion.

#### 2. SIGNAL MODEL

The considered adaptive smoothing functions are used in noise PSD estimators that operate in the short-time Fourier transform (STFT) domain. We now introduce the employed signal model and explain the relationship to the quantities used in (1).

We assume that the input signal is represented in the STFT domain which is obtained by splitting the signal into overlapping frames and transforming each frame using the discrete Fourier transform. Usually, a spectral analysis window, e.g., a Hann window, is applied before the Fourier transform is performed. Here, we assume that the speech spectral coefficients  $S[k,\ell]$  and the noise spectral coefficients  $D[k,\ell]$  are additive as

$$X[k,\ell] = S[k,\ell] + D[k,\ell].$$
 (2)

Correspondingly,  $X[k,\ell]$  are the spectral coefficients of the noisy input signal while k and  $\ell$  denote the frequency and time index, respectively. We omit the frequency index k for better readability if the considered expression does not depend on this quantity. Additionally, we assume that the periodogram of the noisy input signal  $|X[\ell]|^2$  follows an exponential distribution as

$$f(|X[\ell]|^2) = \begin{cases} (1/\mu) \exp(-|X[\ell]|^2/\mu), & \text{if } |X[\ell]|^2 > 0, \\ 0, & \text{otherwise.} \end{cases}$$
(3)

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Here, the mean of the distribution is given by the scaling parameter  $\mu$ as  $\mathbb{E}\{|X[\ell]|^2\} = \mu$  where  $\mathbb{E}\{\cdot\}$  is the expectation operator. This model holds if the speech coefficients  $X[\ell]$  and noise coefficients  $D[\ell]$  follow circular complex Gaussian distributions. The considered noise PSD estimators [5,6] determine the noise PSD by adaptively smoothing the noise periodogram  $|X[\ell]|^2$  across time  $\ell$ . Consequently, the filter input  $x_{\ell}$  in (1) can be understood as the periodogram  $|X[\ell]|^2$  while the filter output  $\overline{x}_{\ell}$  corresponds to the estimated noise PSD  $\hat{\sigma}_d^2[\ell]$ .

## 3. NOISE PSD ESTIMATORS USING ADAPTIVE SMOOTHING FACTORS

In this section, we present the noise PSD estimators proposed in [5,6] in the context of adaptive smoothing.

## 3.1. Two different smoothing factors

In [5, Section 14.1.3], a simple approach has been proposed for estimating the noise PSD. Here, one out of two fixed smoothing constants is selected based on a threshold. A larger constant is used if the *a posteriori* SNR, i.e., the ratio  $|X[\ell]|^2 / \hat{\sigma}_d^2[\ell-1]$ , is larger than one and a smaller one is used otherwise. The goal of this procedure is to avoid speech to leak into the noise PSD estimate  $\hat{\sigma}_d^2[\ell]$  by excluding spectral coefficients which are likely to contain speech, i.e., the spectral coefficients having high energy relative to the estimated noise PSD. Mathematically, this method is given by

$$\alpha(x_{\ell}, \overline{x}_{\ell-1}) = \begin{cases} \alpha^{\uparrow}, & \text{if } x_{\ell}/\overline{x}_{\ell-1} > 1, \\ \alpha^{\downarrow}, & \text{otherwise} \end{cases}$$
(4)

where  $\alpha^{\uparrow}$  and  $\alpha^{\downarrow}$  denote the two fixed smoothing constants which are chosen between zero and one where  $\alpha^{\uparrow}$  is larger than  $\alpha^{\downarrow}$ .

#### 3.2. Speech presence probability based noise PSD estimation

In the noise PSD estimator proposed in [6], an estimate of the SPP is used to avoid speech leakage. Even though the noise estimator is not explicitly described by adaptive smoothing, it could be shown in [7] that this algorithm can be described as an adaptive smoothing factor  $\alpha(x_{\ell}, \overline{x}_{\ell-1})$ . In [6], two hypothesis are introduced: one for speech presence  $H_1$ , where  $X[\ell] = S[\ell] + D[\ell]$ , and one for speech absence  $H_0$ , where  $X[\ell] = D[\ell]$ . Under the assumption that  $S[\ell]$  and  $D[\ell]$  follow complex Gaussian distributions and that the prior probabilities are identical, i.e.,  $P(H_0) = P(H_1)$ , the SPP is obtained as

$$P(H_1|X[\ell]) = \left(1 + (1+\xi)\exp\left(-\frac{|X[\ell]|^2}{\hat{\sigma}_d^2[\ell-1]}\frac{\xi}{\xi+1}\right)\right)^{-1}.$$
 (5)

The quantity  $\xi$  denotes the SNR that is expected if a spectral coefficient contains speech. In [6],  $\xi$  is not adaptively estimated but set to a fixed value which is optimized such that the Bayesian risk, i.e., the misclassification between speech presence and speech absence, is minimized [9]. In [7], the corresponding adaptive smoothing factor has been derived as

$$\alpha(x_{\ell}, \overline{x}_{\ell-1}) = \beta + \frac{1-\beta}{1+(1+\xi)\exp(-x_{\ell}\xi/\{\overline{x}_{\ell-1}(1+\xi)\})}$$
(6)

where  $\beta$  is a fixed recursive smoothing constant. Here, the term weighted by  $1 - \beta$  is the SPP given in (5) with  $x_{\ell}/\overline{x}_{\ell-1} = |X[\ell]|^2/\hat{\sigma}_d^2[\ell-1]$ . The behavior of this adaptive smoothing function is similar to the factor proposed in [5, Section 14.1.3] as the expression in (6) is close to one for high a posteriori SNRs and approaches the fixed smoothing constant  $\beta$ for low a posteriori SNRs.

#### 4. CORRECTION METHODS

If the adaptive smoothing factor depends only on the ratio  $x_{\ell}/\overline{x}_{\ell-1}$ , the adaptive smoothing factor is scale-invariant, i.e., if the filter input  $x_{\ell}$  is scaled by some  $r \in \mathbb{R}$ , the filter output  $\overline{x}_{\ell}$  is scaled by the same factor r. Algorithm 1 Bias compensation by scaling the input signal by  $c_1$  [7].

- 1: Initialize algorithm and compensate bias:
  - $\dot{\overline{x}}_0 \leftarrow c_1 x_0$ , with  $c_1$  obtained from Algorithm 3.
- 2: for all all remaining frames  $\ell$  do 3.
  - Perform smoothing and correct bias:

 $\check{\overline{x}}_{\ell} = (1 - \alpha (c_1 x_{\ell}, \check{\overline{x}}_{\ell-1})) c_1 x_{\ell} + \alpha (c_1 x_{\ell}, \check{\overline{x}}_{\ell-1}) \check{\overline{x}}_{\ell-1}$ 4: end for

Algorithm 2 Proposed bias compensation by scaling only the  $x_{\ell}$  not occurring in  $\alpha(x_{\ell}, \overline{x}_{\ell-1})$  by  $c_2$ .

- 1: Initialize algorithm and compensate bias:  $\overline{x}_0 \leftarrow c_2 x_0$ , with  $c_2$  obtained from Algorithm 4. 2: for all all remaining frames  $\ell$  do
- Perform smoothing and correct bias: 3:

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$$x_{\ell} = (1 - \alpha(x_{\ell}, x_{\ell-1}))c_2 x_{\ell} + \alpha(x_{\ell}, x_{\ell-1})x_{\ell-1}$$
  
4: end for

This can be proven using the method of induction. For recursive filters it is often assumed that the system is initially at rest, i.e.,  $\overline{x}_{\ell} = 0$  for  $\ell < 0$ . Instead, as this would lead to a division by zero, we assume that the first filter output is given by the first input as  $\overline{x}_0 = x_0$ . Consequently, multiplying the filter input by r leads to  $r\overline{x}_0 = rx_0$ . Then, for the following input samples of the smoothing filter in (1), it can be shown that

$$\left[1 - \alpha \left(\frac{rx_{\ell}}{r\overline{x}_{\ell-1}}\right)\right] rx_{\ell} + \alpha \left(\frac{rx_{\ell}}{r\overline{x}_{\ell-1}}\right) r\overline{x}_{\ell-1} \tag{7}$$

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$$= r\left(\left\lfloor 1 - \alpha \left(\frac{x_{\ell}}{\overline{x}_{\ell-1}}\right) \right\rfloor x_{\ell} + \alpha \left(\frac{x_{\ell}}{\overline{x}_{\ell-1}}\right) \overline{x}_{\ell-1}\right) \tag{8}$$

$$r\overline{x}_{\ell}.$$
 (9)

It thus follows that, the adaptive smoothing factors of the considered noise PSD estimators [5,6] are indeed scale-invariant.

Because of the scale-invariance the bias can be corrected by applying a correction factor  $c_1$  either to the filter input or to the filter output. For obtaining an unbiased estimate of the mean, this correction factor has to be set to  $c_1 = \mathbb{E}\{x_\ell\}/\mathbb{E}\{\overline{x}_\ell\}$ . As this ratio does not depend on the scaling of  $x_{\ell}$  or  $\overline{x}_{\ell}$ , it is sufficient to know the bias for any given mean of the signal, e.g.,  $\mathbb{E}{x_{\ell}} = 1$ . Algorithm 1 summarizes the resulting correction method. Here,  $\check{x}_{\ell}$  denotes the corrected filter output. The same correction can also be achieved by applying  $c_1$  to the filter output. For this, the input signal is smoothed with (1) and corrected via  $\check{\overline{x}}_{\ell} = c_1 \bar{x}_{\ell}$ . For obtaining the correct compensation, the corrected filter output must not be recursively fed back.

In this paper, we show that instead of multiplying the filter input or output by a correction factor, the bias can also be corrected by applying the correction factor only to the  $x_{\ell}$  not occurring in the adaptive smoothing function  $\alpha(x_{\ell}, \overline{x}_{\ell-1})$  as shown in Algorithm 2. Here, the correction factor  $c_2$  is used, whose value is in general different to  $c_1$  used in Algorithm 1. Also for this case, the scale-invariance can be exploited meaning that it is sufficient to obtain a correction factor  $c_2$  for a known mean value of the input, e.g.,  $\mathbb{E}\{x_{\ell}\}=1$ . In contrast to Algorithm 1, this method has the advantage that also the values of the adaptive smoothing factor  $\alpha(x_{\ell}, \overline{x}_{\ell-1})$ are corrected. For smoothing constants that depend on the ratio  $x_{\ell}/\overline{x}_{\ell-1}$ , this cannot be achieved with Algorithm 1 as the input  $x_{\ell}$  is scaled with the same factor as the corrected output  $\dot{\bar{x}}_{\ell-1}$ . As the correction factor  $c_1$  cancels out, the smoothing factors remain the same independent of  $c_1$ . In contrast, in Algorithm 2, only the corrected output  $\check{\overline{x}}_{\ell-1}$  is used to determine the adaptive smoothing factor while the input  $x_{\ell}$  remains unscaled. Consequently, the smoothing factor is determined based on a corrected version of the a posteriori SNR. Considering the noise PSD estimator in [6], for example, this also leads to a correction of the estimated SPP. Another advantage is that for determining  $c_2$ , the iteration described in [7] is not required. Depending on the used adaptive smoothing factor, Algorithm 3 Iterative estimation of the correction factor  $c_1$  for adaptive functions depending on  $\overline{x}_{\ell-1}$ .

1:  $i \leftarrow 0, \rho_0 \leftarrow 1, \mu \leftarrow 1$ .

- 2: while convergence criterion for  $\rho_i$  is not met **do**
- 3: Obtain  $\rho_{i+1}$  using (12). The solutions for the adaptive functions in [5,6] are given in (15) and (16).
- 4:  $i \leftarrow i + 1$
- 5: end while
- 6: Compute compensation factor:  $c_1 = \mu / \rho_i$ .

this correction can lead to a lower overestimation of the mean compared to Algorithm 1 proposed in [7] as we will show in our experiments.

## 5. DETERMINATION OF THE CORRECTION FACTOR

In this section, we propose two methods to determine the correction factors  $c_1$  and  $c_2$ , respectively. In [7], an analytical solution has been described to determine  $\mathbb{E}\{\overline{x}_\ell\}$  for adaptive smoothing factors that depend on the filter input  $x_\ell$ , but not on the previous filter output  $\overline{x}_{\ell-1}$ . Based on this solution, an iterative method has been proposed that can determine the bias for smoothing factors depending on both  $x_\ell$  and  $\overline{x}_{\ell-1}$  in good approximation. Here, we extend the methods proposed in [7] to estimate the correction factor  $c_2$  used in Algorithm 2.

#### 5.1. Determination of the correction factor $c_1$

First, we address the correction factor  $c_1$ . If adaptive smoothing factors  $\alpha(x_{\ell}, \overline{x}_{\ell-1})$  are considered that do not depend on the previous filter output  $\overline{x}_{\ell-1}$ , equation (1) simplifies to

$$\overline{x}_{\ell} = [1 - \alpha(x_{\ell})] x_{\ell} + \alpha(x_{\ell}) \overline{x}_{\ell-1}.$$
(10)

For the derivations, we assume that all  $x_{\ell}$  are identically distributed and uncorrelated. Further, we assume that the filter output  $\overline{x}_{\ell}$  will remain stationary if the filter input  $x_{\ell}$  is stationary. Experiments indicate that this property is sufficiently fulfilled. From this it follows that  $\mathbb{E}\{\overline{x}_n\} = \mathbb{E}\{\overline{x}_m\}$ . With the first assumption, the expected value  $\mathbb{E}\{x_{\ell}\overline{x}_{\ell-1}\}$  can be written as  $\mathbb{E}\{x_{\ell}\}\mathbb{E}\{\overline{x}_{\ell-1}\}$ . Thus, by applying  $\mathbb{E}\{\cdot\}$  to (10) and rearranging the terms

$$\mathbb{E}\{\overline{x}_{\ell}\} = \frac{\mathbb{E}\{x_{\ell}\} - \mathbb{E}\{x_{\ell}\alpha(x_{\ell})\}}{1 - \mathbb{E}\{\alpha(x_{\ell})\}}$$
(11)

is obtained [7]. The result given in (11) depends only on the adaptive function  $\alpha(x_{\ell})$  and the probability density function of  $x_{\ell}$ . Based on the solution in (11), an iteration has been derived in [7]. For this, the adaptive smoothing factors are simplified by replacing  $\overline{x}_{\ell-1}$  by a fixed value  $\rho$ . Then, the equation for the iteration is given by

$$\rho_i = \frac{\mathbb{E}\{x_\ell\} - \mathbb{E}\{x_\ell \alpha(x_\ell, \rho_{i-1})\}}{1 - \mathbb{E}\{\alpha(x_\ell, \rho_{i-1})\}}.$$
(12)

Here,  $\rho_i$  is the estimate of  $\mathbb{E}\{\overline{x}_\ell\}$  obtained for the *i*th iteration step whereas the initial condition is denoted by  $\rho_0$ . For determining the final estimate of  $\mathbb{E}\{\overline{x}_\ell\}$ , the iteration is continued until it converges. In [7], it has been shown that the parameter  $\rho_0$  does not influence the convergence of the iterative approach for the considered noise PSD estimators [5, 6]. With the converged  $\rho_i$ , the correction factor is determined by  $c_1 = \mu/\rho_i$ . This procedure is summarized in Algorithm 3.

## 5.2. Determination of the proposed correction factor $c_2$

For determining the correction factor  $c_2$ , the expected value of line three in Algorithm 2 is considered. First, as in Section 5.1, we deal with the case where the adaptive smoothing factor does not depend on the previous estimate  $\bar{x}_{\ell-1}$ . This means that  $\mathbb{E}\{\bar{x}_\ell\}$  is now determined for  $\bar{x}_\ell = (1 - \alpha(x_\ell))c_2x_\ell + \alpha(x_\ell)\bar{x}_{\ell-1}$ . The result is similar to (11) and differs only by the multiplication by  $c_2$  as

$$\mathbb{E}\{\overline{x}_{\ell}\} = c_2 \frac{\mathbb{E}\{x_{\ell}\} - \mathbb{E}\{x_{\ell}\alpha(x_{\ell})\}}{1 - \mathbb{E}\{\alpha(x_{\ell})\}}.$$
(13)

**Algorithm 4** Estimation of the correction parameter  $c_2$  for adaptive functions depending on  $\overline{x}_{\ell-1}$ .

- 1:  $\rho_0 \leftarrow 1, \mu \leftarrow 1$ .
- 2: Obtain  $\rho_1$  using (12). The solutions for the adaptive functions in [5,6] are given in (15) and (16).
- 3: Compute compensation factor:  $c_2 = \mu/\rho_1$ .

Smoothing factor	Monte-Carlo	Sec. 5
(4), [5, Section 14.1.3]	10.42	10.33
(6), [6]	1.31	1.23

**Table 1**: Correction factor  $c_1$  as proposed in Algorithm 1 for the adaptive smoothing functions in (4) and (6).

Here, the same assumptions have been made as for (11). Interestingly, the compensation method in Algorithm 2 leads to a scaling of the filter output by  $c_2$  for adaptive smoothing functions that depend only on  $x_\ell$  but not  $\overline{x}_{\ell-1}$ . Consequently, setting  $c_2 = \mathbb{E}\{x_\ell\}/\mathbb{E}\{\overline{x}_\ell\}$  leads to a compensation of the bias for such smoothing factors. Analogously, an iteration can be derived for the smoothing in Algorithm 2 for factors  $\alpha(x_\ell, \overline{x}_{\ell-1})$  depending on  $x_\ell$  and  $\overline{x}_{\ell-1}$ . Also here,  $\overline{x}_{\ell-1}$  is replaced by a fixed constant and we obtain

$$\tilde{\rho}_i = c_2 \frac{\mathbb{E}\{x_\ell\} - \mathbb{E}\{x_\ell \alpha(x_\ell, \tilde{\rho}_{i-1})\}}{1 - \mathbb{E}\{\alpha(x_\ell, \tilde{\rho}_{i-1})\}},$$
(14)

which is (12) multiplied by  $c_2$ . To distinguish the iteration steps in (12) from the ones used in (14),  $\tilde{\rho}_i$  is used here. To obtain an unbiased estimate, the constant  $c_2$  has to be set such that the iteration converges to  $\mathbb{E}\{x_\ell\}$ . To achieve this,  $\rho_1$  is determined with (12) where  $\rho_0$  is set to the mean of the input signal  $\mathbb{E}\{x_\ell\}$ . With that, the correction factor  $c_2$  is determined as  $c_2 = \mathbb{E}\{x_\ell\}/\rho_1$ . Using the resulting  $c_2$  and  $\tilde{\rho}_0 = \mathbb{E}\{x_\ell\}$  in (14), it can be seen that this correction factor enforces the same result, namely  $\mathbb{E}\{x_\ell\}$ , for each iteration step  $\tilde{\rho}_i$ . Similar to [7], it can be shown experimentally that for other initializations  $\tilde{\rho}_0$  the iteration in (14) converges to the same value, i.e.,  $\mathbb{E}\{x_\ell\}$ . This indicates that the determined value for  $c_2$ , which can be obtained without an iteration, compensates the bias in Algorithm 2. Due to the scale-invariance, this procedure leads to the same  $c_2$  for any given mean  $\mathbb{E}\{x_\ell\}$ , e.g.,  $\mathbb{E}\{x_\ell\} = \rho_0 = 1$ . A summary of this procedure is shown in Algorithm 4.

#### 5.3. Analytic solutions for specific adaptive smoothing factors

Finally, we show the solutions to (11) for the adaptive smoothing factors given in (4) and (6). Here, it is assumed that  $x_{\ell}$  follows an exponential distribution as in (3) and that  $\overline{x}_{\ell-1}$  is replaced by  $\rho$ . For the noise PSD estimator proposed in [5, Section 14.1.3], the expected value  $\mathbb{E}\{\overline{x}_{\ell}\}$ , i.e., the solution to (11) given (4), results in

$$\mathbb{E}\{\overline{x}_{\ell}\} = \mu \frac{(\alpha^{\downarrow} - 1)\exp(\lambda) + (\alpha^{\uparrow} - \alpha^{\downarrow})(1 + \lambda)}{(\alpha^{\downarrow} - 1)\exp(\lambda) + \alpha^{\uparrow} - \alpha^{\downarrow}},$$
(15)

with  $\lambda = \rho/\mu$ . The expected value  $\mathbb{E}\{\bar{x}_{\ell}\}\$  for the expression in (6) can be derived using the property of the geometric series [10, 1.112.1] and the analytic continuation property of the hypergeometric series [10, 9.130]. The result is

$$\mathbb{E}\{\overline{x}_{\ell}\} = \mu \frac{1 - {}_{3}F_{2}[1,\zeta,\zeta;\zeta+1,\zeta+1;-(1+\xi)]}{1 - {}_{2}F_{1}[1,\zeta;\zeta+1;-(1+\xi)]},$$
(16)

where  ${}_{p}F_{q}$  is the generalized hypergeometric function and  $\zeta$  is

$$\zeta = \lambda \frac{\xi + 1}{\xi}.$$
(17)

#### 6. EVALUATION

First, we analyze the precision of the estimation methods described in Section 5, which can be used to determine the correction factors  $c_1$  and

Smoothing factor	Monte-Carlo	Sec. 5
(4), [5, Section 14.1.3]	2.44	2.37
(6), [6]	1.20	1.16

**Table 2**: Correction factor  $c_2$  as proposed in Algorithm 2 for the adaptive smoothing functions in (4) and (6).

 $c_2$ . We employ Monte-Carlo simulations to obtain a ground truth. We generate  $10^6$  independent realizations for  $x_\ell$  that follow an exponential distribution as given in (3) where the mean  $\mu$  is kept fixed. These samples are used to compute the output  $\overline{x}_\ell$  of the adaptive first-order recursive smoothing filter which is used to estimate the expected value  $\mathbb{E}\{\overline{x}_\ell\}$  by temporal averaging. The estimated mean is denoted by  $\hat{\mathbb{E}}\{\overline{x}_\ell\}$  and is used to determine a Monte-Carlo estimate  $c_1^{MC}$  of the correction factor  $c_1$  by computing  $c_1^{MC} = \mu/\hat{\mathbb{E}}\{\overline{x}_\ell\}$ . The Monte-Carlo reference  $c_2^{MC}$  for the factor  $c_2$  used in Algorithm 2 is determined as the factor that minimizes the difference between the mean of the input signal  $\mathbb{E}\{x_\ell\}$  and the mean of the corrected filter output  $\hat{\mathbb{E}}\{\overline{x}_\ell\}$ , i.e.,

$$c_2^{\text{MC}} = \arg\min_{\alpha} |\mathbb{E}\{x_\ell\} - \hat{\mathbb{E}}\{\tilde{x}_\ell\}| \tag{18}$$

where  $\hat{\mathbb{E}}\{\check{x}_{\ell}\}$  is determined as the temporal average of the filter output  $\check{x}_{\ell}$  which is computed as in Algorithm 2.

In the evaluations, we use the default values of the noise PSD estimators as described in the literature. Correspondingly,  $\alpha^{\uparrow}$  and  $\alpha^{\downarrow}$  are set to 0.9995 and 0.9, respectively [5, Section 14.1.3]. For the SPP based noise estimator  $\xi = 15$  dB and  $\beta = 0.8$  are used [6].

For the considered noise estimators described in [5,6], 10 to 15 iteration step are required in Algorithm 3 to determine correction factor  $c_1$ . In accordance to the findings in [7], the results in Table 1 show that the correction factor  $c_1$  is slightly underestimated by the iterative method given in Algorithm 3. The ratio between the reference and the estimated correction factor is -0.04 dB and -0.27 dB for the smoothing factors in (4) and (6), respectively. This means that the mean will still be underestimated by this amount if the correction factors obtained with Algorithm 3 are used.

Table 2 shows the correction factors for  $c_2$  obtained with Algorithm 4. Compared to the correction factor  $c_1$  used in Algorithm 1, the respective values of  $c_2$  are smaller. The difference is due to the different influence of Algorithm 2 on the recursion. Further, also the factors  $c_2$  determined with Algorithm 4 are smaller than the compensation factors obtained from the Monte-Carlo simulations. With these correction factors an underestimation of 0.6 dB and 0.2 dB remains for the smoothing factors proposed in [5, Section 14.1.3] and [6], respectively. In general, a good approximation of the true correction factors can be achieved using the methods described in Section 5.

The following experiment is conducted using real world signals. We use 192 sentences from the TIMIT corpus [11] which are artificially corrupted by background noises at SNRs of -10 dB, 10 dB and 10 dB. A variety of synthetic and natural noise types is employed namely the pink and the babble noise taken from the Noisex-92 corpus [12] and a passing car noise. The considered signals have a sample rate of 16 kHz. For the STFT, we use 32 ms frames with an overlap of 50 % and a square-root Hann window for spectral analysis. The correction methods are compared in terms of the log-error distortion proposed in [8]. Similar to [6], we consider the contribution of the underestimation  $\text{LogErr}_{\downarrow}$  and the overestimation  $\text{LogErr}_{\downarrow}$  and the overestimation  $\text{LogErr}_{\downarrow}$  separately as

$$\text{LogErr}_{\uparrow} = \frac{1}{KL} \sum_{\ell=0}^{L-1} \sum_{k=0}^{K-1} \max\left(0, 10 \log_{10} \frac{\hat{\sigma}_d^2[k,\ell]}{\sigma_d^2[k,\ell]}\right), \quad (19)$$

$$\text{LogErr}_{\downarrow} = -\frac{1}{KL} \sum_{\ell=0}^{L-1K-1} \sum_{k=0}^{M-1} \min\left(0,10 \log_{10} \frac{\hat{\sigma}_{d}^{2}[k,\ell]}{\sigma_{d}^{2}[k,\ell]}\right), \quad (20)$$



**Fig. 1**: Comparison of the proposed correction methods in terms of the log-error distortion with respect to the noise PSD estimators proposed in (4), [5] (top) and (6), [6] (bottom), for noisy speech. The lower part of the bars (gray) is the overestimation whereas the upper part (colored) is the underestimation.

where the total log-error distortion is given by LogErr = LogErr<sub>1</sub> + LogErr<sub>1</sub>. For the evaluation, we ensure that the first 5 s are excluded to allow the noise estimators to adapt to the background noise. Further, we exclude the 0 Hz bin and the Nyquist frequency as the periodogram cannot be considered exponentially distributed here. As the pink noise is stationary, the reference noise PSD  $\sigma_d^2[\ell]$  is obtained by temporal averaging of the noise periodogram. For the nonstationary noises, we employ a slightly smoothed version of the noise periodogram, where a fixed smoothing constant with  $\alpha = 0.73$  is used. The results are shown in Figure 1 for the smoothing factors in (4), [5, Section 14.1.3], and (6), [6], respectively.

Considering the noise only case, i.e.,  $SNR = -\infty$ , both proposed correction methods lead to lower log-error distortions at the cost of a slightly increased noise overestimation in comparison to the case where no correction is applied. As a consequence, the total log-error distortion is considerably smaller compared to the case where no correction is employed. For [5, Section 14.1.3], Algorithm 1 gives a slightly lower distortion while Algorithm 2 appears to be the better solution for the SPP based noise estimator. In general, the speech leakage increases with increasing SNR. Thus, in high SNRs, the correction factors that are required to obtain an unbiased estimate amplify this overestimation. This is especially true for the noise estimator proposed in [5, Section 14.1.3] which always allows to track the noise albeit slowly. This issue is smaller if the proposed Algorithm 2 is used for correcting the bias induced by the noise PSD estimator in [5, Section 14.1.3]. With this method, the log-error distortion can be reduced for all noise types and SNRs. For the SPP based noise PSD estimator, the scaling used in Algorithm 1 leads to a lower overestimation in high SNRs. For the SPP based noise estimator, however, the total log-error distortion is in general rather small so that the speech distortion caused by the overestimation in high SNRs is most likely negligible while in low SNRs estimation errors are reduced.

# 7. CONCLUSIONS

In this paper, we proposed a novel method to compensate the bias caused by adaptive smoothing. In contrast to the method in [7], the correction factor can be determined without any iteration. We analyzed both compensation methods and the procedures to determine the respective correction terms. We showed that the precision of the correction factors can be estimated with sufficient accuracy with the proposed methods. Further, experiments on real world signals were conducted. While the multiplication with a compensation factor larger than one necessarily results in an increase of overestimation errors, we showed that the overall estimation error is decreased for the critical SNR range below 10 dB.

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